

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Gopi M. Venkatesh et al.

Application No.: 10/713,929

Confirmation No.: 4820

Filed: November 14, 2003

Group Art Unit: 1615

For: MODIFIED RELEASE DOSAGE FORMS OF  
SKELETAL MUSCLE RELAXANTS

Examiner: BARHAM, Bethany P.

**DECLARATION UNDER 37 C.F.R. § 1.131**

We, Dr. Gopi Venkatesh and James M. Clevenger declare as follows:

1. We are the named inventors of the above-noted application (Ser. No. 10/713,929)
2. We have read and understood the Official Action of January 11, 2008, and in particular the rejection of the pending claims under 35 U.S.C. §103 over the combination of U.S. Publ. No. 2004/0197407 (the '407 application) and U.S. Publ. Nos. 2003/0215496 or 2003/009971.
3. We understand that the earliest asserted priority date of the '407 application is February 11, 2003, through the priority claim to U.S. Provisional Application Ser. No. 60/446,425.
4. The subject matter of the pending claims of the present application was invented by Gopi Venkatesh and James M. Clevenger (the named inventors) prior to February 11, 2003.
5. Example 3 of the instant application describes the formulation and production of a multiparticulate dosage form of cyclobenzaprine, wherein the cyclobenzaprine is coated on sugar spheres and covered with a water insoluble polymer to produced extended release beads (see paragraph 0045). Figure 4 of the instant application shows the release rate of the finished beads of Example 3 (e.g., Batch 805-AAA-105).

6. Exhibit A, dated before February 11, 2003, shows a "Master Formula" sheet documenting the production of the intermediate cyclobenzaprine coated beads used to make **Batch 805-AAA-105**. This intermediate batch (designated **Lot No. 837-AG-034**) comprises:

- "Sugar Spheres" (5475 g) coated with "cyclobenzaprine HCl" (1875 g) from "Acetone, NF 50/50% Ratio" and "USP Purified Water, 50/50% Ratio";
- seal coated with "2.00%" of "Opadry Clear YS-1-7006".

Exhibit B, dated before February 11, 2003, shows a "Master Formula" sheet documenting the actual production of **Batch 805-AAA-105** by coating the intermediate cyclobenzaprine beads of **Lot No. 837-AG-034** with an extended release water insoluble polymer:

- ER coating of **Lot No. 837-AG-034** with "Ethylcellulose 10P Premium (10 cps)" (363.6 g) and "Diethyl Phthalate" (36.4 g) dissolved in "Acetone, NF (98 parts)" and "USP Purified Water (2 parts)". Samples were collected with a coating weight of "10%" (designated **Batch or Lot No. 805-AAA-105**).

Exhibit C, dated before February 11, 2003, shows data for the mean cumulative release rate of cyclobenzaprine over time for "Lot # 805-AAA-105-10" (i.e., 10 wt.% ER coating, Batch 805-AAA-105). The data are identical to that presented in graphical form for the sample designated "10% ER Coating Wt., Batch 805AAA105" in Figure 4 of the instant application and shows that the 10% ER coated beads exhibit a release profile that after 2 hours, no more than about 40% of the total active is released; after 4 hours, from about 40-65% of the total active is released; after 8 hours, from about 60-85% of the total active is released; and after 12 hours, from about 75- 85% of the total active is released, wherein said dosage form is dissolution tested using United States Pharmacopoeia Apparatus 2 (paddles @ 50 rpm) in 900 mL of 0.1N HCl at 37°C. This is the same dissolution profile required by the pending claims.

7. Exhibit D, dated before February 11, 2003, is a batch record showing the ingredients of "Cyclobenzaprine HCl ER Beads", **Lot No. PE271EA001**:

- “Cyclobenzaprine HCl Intermediate Beads”, Item code **PE249**; coated with “Ethylcellulose” and “Diethyl Phthalate”.

Exhibit E, dated before February 11, 2003, documents the manufacture of “Cyclobenzaprine HCl MR Capsules, 30 mg”, Lot No. **PF306EA001**:

- “White, Opaque Hard Gelatin Capsules, Size 4”, filled with “Cyclobenzaprine HCl Extended Release Beads”, Item code **PE271**.

Exhibit F, dated before February 11, 2003, shows data for the mean cumulative release rate of cyclobenzaprine over time for clinical batch “Lot # PF306EA001”. The data are identical to that presented in graphical form for the clinical sample designated “PF306EA001” in Figure 6, Examples 4 and 5 of the instant application. Formulation PF306EA001 shows a release profile that after 2 hours, no more than about 40% of the total active is released; after 4 hours, from about 40-65% of the total active is released; after 8 hours, from about 60-85% of the total active is released; and after 12 hours, from about 75- 85% of the total active is released, wherein said dosage form is dissolution tested using United States Pharmacopoeia Apparatus 2 (paddles @ 50 rpm) in 900 mL of 0.1N HCl at 37°C. This is the same dissolution profile required by the pending claims.

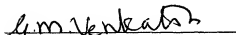
8. Thus, Exhibits A and B document the production of the identical multi-particulate cyclobenzaprine dosage forms described in Example 3 of the present application, and as set forth in the instant claims, before February 11, 2003.

9. Thus, Exhibits D and E document the production of the identical clinical batch described in Examples 4 and 5 of the present application, and as set forth in the instant claims, before February 11, 2003.

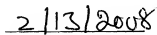
10. We further declare that all statements made herein on our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that

such willful false statements may jeopardize the validity of the above-referenced application or any patent issuing thereon.

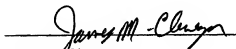
Respectfully submitted,



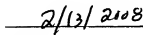
Gopi Venkatesh



Date



James M. Clevenger



Date

## Master Formula

Page 1 of 1

Product Name: Cyclobenzaprine HCl, Drug Layered Beads      Batch Number: 837A6284      Design:                     

Physical Description: Off White      Capsule Size: N/A      Batch Size: 2500.0 gms

Imprint (Upper): N/A      Imprint (Lower): N/A      Label (as USP): N/A      Unit Weight (mg): N/A

Written By: A. Gallo AG      Reviewed By:                           Room #: 197L      Temperature: 6.9 °C      Humidity: 73.9 %      Time: 7:50 4/7

Item #	Ingredients (Trade Name Grade)	Raw Material Lot #	Quantity		Quantity		Quantity		Weighted		Checked		Date
			%	Mg./Unit	Cost/batch	Weighted	By	By	By	By			
1	*Cyclobenzaprine HCl	C14607401	25.00	7.50	1875.0 gm	1875.0	AK						
2	Sugar Spheres 20-25 Mesh (Hassm)	RD-991114	73.00	21.90	5475.0 gm	5475.0	AK						
3	**Opadry Clear YS-1-7006	H10307376	2.00	0.60	150.0 gm	150.0	AK						
4													
5													
6													
7													
8													
9													
10	Acetone, NF 50/50 % Ratio	A10707332			2812.50 ml	2812.50	AK						
11	USP Purified Water, 50/50 % Ratio	W-10002061B			2812.50 ml	2812.50	AK						
12	USP Purified Water @ 10.0 % of Seal Coat	W-10002061B			1350.0 ml	1350.0	AK						
	Total:		100.00	30.00	7500.0 gm	7500.0	AK						

Note: Acetone, NF / USP Purified Water 50/50 Ratio.

\* Subjective to evaluate dose @ 25.0 % Using GPCG 4

1 gram 454.31, 13.9 mm 10 water casting tablets. Each bag unit and 4 batch units do not reflect entire.

## Exhibit B

Project No. \_\_\_\_\_

TITLE CYCLOBENZAPRINE HCl EC B5905Book No. 805From Page No. 105

Purpose: To EC coat Cyclobenzaprine HCl drug layered beads using solvent (80:20) Acetone : H<sub>2</sub>O as a medium. The drug layered beads were then Ethyl Cellulose coated using Acetone : H<sub>2</sub>O (98:2). The EC was done using Glatt GPCG-B Wurster.

## Master Formula

Page 1 of 1

Product Name: Cyclobenzaprine HCl - Extended Release Beads (25.0 mg)

Physical Description : Extended Release Coating

Lot # 805-AAA-165

*Calculated by*

Date : <u>                    </u>	Ingredients (Trade Name Grade)		Raw Material Lot #	Quantity Wt. Wt. W	Quantity Mg : Unit	Quantity Cust batch	Quantity Weighted	Weighted By	Checked By
1.	Cyclobenzaprine HCl Drug Layered Beads		837-A/G-034	3600.0			3600.0	AAA	
2.	Ethylcellulose 10P Premium (10cps), NF		B11407226	363.6			363.6	AAA	
3.	Diethyl Phthalate, USP		D11807500	36.4			36.4	AAA	
10.	Acetone, NF (98 parts)		A1070332	5639.0			5639.0	AAA	
11.	Purified Water, USP (2 parts)		W100-01	115.0			115.0	AAA	
Total:									
Objective: Evaluating Dose @ 10.0 % Samples were taken @ 1, 2, 5, & 10% of EC applied.									

To Page No

Witnessed &amp; Understood by me,

Date

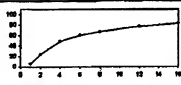
Invented by

Anthony A. Appa

Date

TITLE Giesbergian He MinFrom Page No. 13

Reference: 00021 P104									
Volume	Weight (mg)	Active Wt (mg)							
1	141.85	31.16							
2	141.85	31.16							
3	142.05	31.30							
4	136.25	30.78							
5	141.04	31.17							
6	140.07	30.96							
Reference: 00021 P104									
Vol	Area	Std. Avg.	(%) Released	Avg. (%) Release	C <sub>50</sub>	(%) RSD	Spec.	Pass/Fail	
Ref 1	025879								
1.1	36987		8	8	0.8	0.1	F50	F50	
1.2	37241		8						
1.3	31848		6						
1.4	31909		6						
1.5	34883		6						
1.6	33750	(Std 142)	6						
Ref 2	024533								
2.1	194530	534100	25	25	1.0	4.2	100% 40%	Pass	
2.2	191048		25						
2.3	136363		23						
2.4	135982		23						
2.5	144973		25						
2.6	146717	(Std 142)	25						
Ref 3	025879								
3.1	291033		48	48	1.3	2.8	30% - 80%	Pass	
3.2	295009		48						
3.3	277113		47						
3.4	274035		47						
3.5	293105		48						
3.6	287012	(Std 144)	49						
Ref 4	024819								
4.1	548136	524126	81	80	1.4	3.3	F50	F50	
4.2	304004		80						
4.3	307439		88						
4.4	306851		88						
4.5	346481		81						
4.6	305000	(Std 145)	80						
Ref 5	025879								
5.1	529536	529579	69	67	1.5	2.3	80% - 80%	Pass	
5.2	490548		68						
5.3	490530		68						
5.4	385003		69						
5.5	347481		68						
5.6	408278		69						
5.7	403270	(Std 146)	69						
Ref 6	025879								
6.1	529536	527569	78	77	1.2	1.5	F50	F50	
6.2	500000		77						
6.3	443710		77						
6.4	458003		76						
6.5	440342		75						
6.6	400754		78						
6.7	458822	(Std 147)	77						
Ref 7	025879								
7.1	529536	529579	84	83	1.5	1.2	24.7 70%	Pass	
7.2	504335		84						
7.3	441857		83						
7.4	483636		82						
7.5	501854		84						
7.6	501440	(Std 148)	84						
Ref 8	025879								
8.1	626741	626711							



For Septem Ser 1  
See p. 114

1. Released =  $(50819 / 52621) \times (0.08013 \text{ mg/ml}) \times (900 \text{ mL}) \times (1.4)$   
 $(1140.07 \text{ mg}) \times (93.1\% / 100\%)$   
 $= 84.3\%$

Chromatograms stored in Box 891

To Page No. 890

Witnessed &amp; Understood by me,

*D. J. Hensley*

Date

Invented by

Date

Recorded by *W. J. Hensley*

# ISSUED BY Q.A.

Kurand America, Inc.  
Cyclobenzaprine HCl ER Beads  
Batch Size: 85 kg (Theoretical)  
MF #: A-59271-A

Page 1 of 11

Lot #: PE271EA001	Date of Manufacturing: [REDACTED]
Effective Date: [REDACTED]	
Prepared By: [Signature]	Date: [REDACTED]
Mfg. Approval By: [Signature]	Date: [REDACTED]
R&D Approval By: [Signature]	Date: [REDACTED]
QA Approval By: [Signature]	Date: [REDACTED]
QA Issue: [Signature]	Date: [REDACTED]
QA Audited By: [Signature]	Date: [REDACTED]

Item No.	Item Code	Bead Dosage (mg/g)	% per Batch (w/w)	Ingredient Name	Theoretical Quantity Per Batch**
1	PE249	910.00	91.00	Cyclobenzaprine HCl Intermediate Beads	77.4 Kg
2	E114	81.25	8.13	Ethylcellulose, Premium Std 10cps	6.9 Kg
3	D118	8.75	0.88	Diethyl Phthalate, NF	0.75 Kg
4	A107	—	—	Acetone, NF*	116.7 Kg
5	W100	—	—	Purified Water, USP*	2.4 Kg
		1000.00	100.01	TOTAL=	85.0 Kg

\*Removed from process during the drying process

\*\*Actual batch is based on the actual quantity of the Intermediate Beads available for use. See page 2

Exhibit D



# ISSUED BY Q.A.

Eurand America, Inc.  
Cyclobenzaprine HCl MR Capsules, 30 mg  
Batch Size - 130,000 Capsules (Theoretical)  
MF#: A-60PF306-A

Page 1 of 11

Lot # PF306EA	001	Date of Manufacturing:	
Effective Date:			
Prepared By:	<i>James M. Elmer</i>	Date:	
MFG. Approval By:	<i>J. D. [Signature]</i>	Date:	
R&D Approval By:	<i>U. M. Venkatesh</i>	Date:	
QA Approval By:	<i>Thomas M. [Signature]</i>	Date:	
QA Issue:	<i>Thomas M. [Signature]</i>	Date:	
QA Audited By:	<i>Thomas M. [Signature]</i>	Date:	

Item Code	Item No.	mg per capsule	% per Capsule (w/w)	Ingredient Name	Quantity per Batch
G134	1	37.00 <sup>1</sup>	21.91	White, Opaque Hard Gelatin Capsules, Size 4,	4.81 kg
PE271	2	131.87 <sup>2</sup>	78.09	Cyclobenzaprine HCl Extended Release Beads	17.14kg
	Total	168.87			21.95 kg

<sup>1</sup>Based on a theoretical empty capsule weight of 37.0 mg  
<sup>2</sup>Equivalent to 30 mg of Cyclobenzaprine Hydrochloride (Beads based on a theoretical assay of 22.75%)

Exhibit E

# Exhibit F

## Cyclobenzaprine 30mg MR Capsules Lot# PF308EA001

1 hour	CHKSTD	262100	CHKSTD	258778	Ave STD	259438
1	10845	7	14041			
2	5336	8	14418			
3	12708	9	0			
4	11845	10	14435			
5	11232	11	15283			
6	10480	12	13004			
CHKSTD	258778	CHKSTD	262386	269581		

1 hour	CHKSTD	262386	CHKSTD	263589	Ave STD	262944
1	73230	7	83060			
2	80480	8	88179			
3	90522	9	81279			
4	77577	10	84348			
5	81204	11	78231			
6	80205	12	85546			
CHKSTD	263589	CHKSTD	262181	262890		

1 hour	CHKSTD	262181	CHKSTD	261515	Ave STD	261853
1	130993	7	100268			
2	143484	8	158286			
3	149180	9	151915			
4	143021	10	159810			
5	149228	11	149047			
6	148148	12	150401			
CHKSTD	261515	CHKSTD	268906	266067		

1 hour	CHKSTD	268906	CHKSTD	268252	Ave STD	267425
1	182178	7	187178			
2	181272	8	194590			
3	182435	9	188135			
4	187885	10	187029			
5	188802	11	188727			
6	186502	12	184423			
CHKSTD	268252	CHKSTD	263363	264808		

1 hour (70%)	1	2	3	4	5	6	Average:	4 %
1	4	7	5					
2	2	8	5					
3	4	8	0					
4	4	10	5					
5	4	11	5					
6	4	12	5					

2 hour (60%)	1	2	3	4	5	6	Average:	28 %	Pass
1	25	7	29						
2	28	5	30						
3	28	9	28						
4	27	10	29						
5	28	11	27						
6	28	12	29						

4 hour (38-60%)	1	2	3	4	5	6	Average:	51 %	Pass
1	47	7	54						
2	48	8	54						
3	50	9	52						
4	49	10	54						
5	51	11	51						
6	50	12	58						

1 hour (70%)	1	2	3	4	5	6	Average:	65 %
1	81	7	87					
2	85	8	88					
3	85	8	83					
4	83	10	87					
5	87	11	84					
6	84	12	88					

1 hour	CHKSTD	263363	CHKSTD	270750	Ave STD	267057
1	386885	7	228486			
2	215918	8	118838			
3	219018	9	205793			
4	218084	10	218400			
5	220533	11	211180			
6	214893	12	222248			
CHKSTD	270750	CHKSTD	263358	267053		

12 hour	CHKSTD	263358	CHKSTD	261737	Ave STD	262547
1	232904	7	247858			
2	248220	8	245987			
3	248193	9	230755			
4	245048	10	248980			
5	281809	11	237870			
6	244480	12	252505			
CHKSTD	261737	CHKSTD	261590	261664		

18 hour	CHKSTD	261590	CHKSTD	261232	Ave STD	261421
1	267344	7	264019			
2	282134	8	282718			
3	283860	9	242844			
4	288831	10	285913			
5	288488	11	254622			
6	288000	12	289802			
CHKSTD	261232	CHKSTD	262478	261884		

STD conc 0.63007 mg/ml

Strength 30 mg

1 hour (60-80%)	1	2	3	4	5	6	Average:	73 %	Pass
1	49	7	76						
2	73	8	74						
3	72	9	70						
4	72	10	74						
5	74	11	71						
6	73	12	75						

12 hour (70%)	1	2	3	4	5	6	Average:	84 %	Pass
1	80	7	85						
2	84	8	86						
3	85	9	80						
4	84	10	88						
5	88	11	82						
6	84	12	87						

18 hour (55-77%)	1	2	3	4	5	6	Average:	90 %	Pass
1	85	7	91						
2	90	8	91						
3	91	8	84						
4	88	10	82						
5	93	11	88						
6	91	12	93						